IAP13 Rec'd PCT/PTO 14 NOV 2006

FORM PTO-1390 US DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER (REV. 01-2003) 129616 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5) **DESIGNATED/ELECTED OFFICE (DO/EO/US)** 10/594,963 CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP2006/305535 March 20, 2006 March 18, 2005 TITLE OF INVENTION PLATE MEMBER, SUBSTRATE HOLDING DEVICE, EXPOSURE APPARATUS AND METHOD, AND DEVICE MANUFACTURING METHOD APPLICANT(S) FOR DO/EO/US Hiroyuki NAGASAKA; Tomoharu FUJIWARA Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 1. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. \square is attached hereto (required only if not communicated by the International Bureau). b.

has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) a.
 is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). c. The International Application was filed in English. 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. \square are attached hereto (required only if not communicated by the International Bureau). b. \square have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d.

have not been made and will not be made. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. \Box 15. A substitute specification. 16. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 17. 18. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information: A Transmittal of Power of Attorney is attached hereto.

U.S. APPLICATION NO. (if known, see 10/594,963	see 37 C.F.R. 1.5) INTERNATIONAL APPLICATION NO. PCT/JP2006/305535		ATTORNEYS DOCKET NUMBER 129616		
21. The following fees ar		1 01701 2000/000000		CALCULATIONS	PTO USE ONLY
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BASIC NATIONAL FEE (37 C	FR 1.492(a)):		\$ 300.00	\$	
SEARCH FEE (37 CFR 1.492(b)(1)-(3)):				\$	
International preliminary exam- the USPTO as IPEA or ISA ar industrial applicability for all cla national phase	nd favorable as to aims presented in	novelty, inventive step the application entering	o, and na the		
International search fee (37 CF	FR 1.445(a)(2)) pa	aid to USPTO as ISA	\$ 100.00		
International search report provided to USPTO no later than the time at which the search fee is paid					
All situations not provided for above					
EXAMINATION FEE (37 CFR 1.492(c)(1)-(2)):				\$	
International preliminary examination report or written opinion prepared by the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the national phase					
Surcharge of \$130.00 for furnishing the search fee, the examination fee or the oath or				\$130.00	
declaration after the date of commencement of the national phase (37 CFR 1.492(h)).				4 7 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	
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Total pages - 100 =	÷ 50	= †	x 250 =	\$	ļ
†round up to next integer					
	IUMBER FILED	NUMBER EXTRA	RATE	\$	
TOTAL CLAIMS	- 20	=	x 50.00 =	\$	
INDEPENDENT CLAIMS	- 3	=	x 200.00 =	\$	
MULTIPLE DEPENDENT CLA			+ 360.00 =	\$	
TOTAL OF ABOVE CALCULATIONS = Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are				\$130.00	
reduced by ½.				\$	
SUBTOTAL =				\$130.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from				\$	
the earliest claimed priority date (37 CFR 1.492(i)).					
TOTAL NATIONAL FEE =				\$130.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
TOTAL FEES ENCLOSED =				\$130.00	
		TOTALTE	LO LINGLOGED	Amount to be	
				refunded:	\$
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b. Please charge my I sheet is enclosed. c. The Commissioner Deposit Account No. d. Fees are to be charge.	Deposit Account N is hereby authoriz o. <u>15-0461</u> . A dup	\$130.00 to cover the a No in the amoust to charge any add blicate copy of this she rd. WARNING: Information this form. Provid on this form.	nt of \$ to cover itional fees which may et is enclosed.	the above fees. A du be required, or credi	t any overpayment to
must be filed and g	ranted to restore	er 37 CFR 1.495 has the application to pe	not been met, a peti ending status.	tion to revive (37 CF	R 1.137(a) or (b))
SEND ALL CORRESPONDENCE TO: OLIFF & BERRIDGE, PLC					
Customer Number: 25944 NAME: Mario A. Costantino REGISTRATION NUMBER: 33,565					
Date November 14, 2006 NAME: Kristi					
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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Hiroyuki NAGASAKA et al.

Group Art Unit: 2871

Application No.: 10/594,963

Filed: November 14, 2006

Docket No.: 129616

For:

PLATE MEMBER, SUBSTRATE HOLDING DEVICE, EXPOSURE APPARATUS AND

METHOD, AND DEVICE MANUFACTURING METHOD

TRANSMITTAL OF POWER OF ATTORNEY AND STATEMENT UNDER 37 CFR § 3.73(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith is a Power of Attorney from the Assignee(s).

In compliance with 37 CFR §3.73(b), the undersigned hereby states that NIKON <u>CORPORATION</u> is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventors of the patent application identified above. A copy of the assignment is attached hereto and is concurrently being submitted for recordation.

The undersigned is authorized to act on behalf of the assignee.

In accordance with 37 CFR §1.36(a), submission of this Power of Attorney revokes any powers of attorney previously given.

ALL CORRESPONDENCE IN CONNECTION WITH THIS APPLICATION SHOULD BE SENT TO OLIFF & BERRIDGE, PLC, CUSTOMER NO. 25944, TELEPHONE (703) 836-6400.

Respectfully submitted,

Mario A. Costantino Registration No. 33,565

Kristin K. Vidovich Registration No. 41,448

MAC:KKV/kam

Date: November 14, 2006